

# MR Rec'd PCT/PTO 19 DEC 2009

**PATENT** 

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tuan Quoc Ly	)	I hereby certify that this paper (or fee) is being
Serial No.: 10/549,837	) )	deposited with the United States Postal Service, first class postage prepaid, addressed to:
Filed: March 16, 2004	) )	Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
For: METHOD FOR THE PRODUCTION OF METAL COMPLEXES	<b>)</b>	December 14, 2005
Group Art Unit: To be assigned	) )	0 1-6
Examiner: To be assigned	)	James P. Zeller Reg. No. 28,491

#### INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The patents listed on the enclosed Form PTO-1449 are identified pursuant to 37 CFR

§§ 1.56, 1.97, and 1.98. Copies of the patents are submitted as required.

U.S. 2003/0068526 is an English language equivalent of WO 02/44189.

U.S. 6,953,628 is an English language equivalent of WO 02/45466.

Entry and consideration of the submitted documents are solicited.

Respectfully submitted,

MARSHALL, GERSTEIN & BORUN LLP

December 14, 2005

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n PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Atty. Docket No. Serial No. 30698/CDT386 10/549,837 Applicant
Tuan Quoc Ly
Filing Date

## INFORMATION DISCLOSURE STATEMENT

Group March 16, 2004

U.S. PATENT DOCUMENTS							
*Examiner Initials	Document Number Issue Date		Name	Class	Subclass	Filing Date if Appropriate	
	2002/0024293 A1	02/28/02	Igarashi et al.	313	483		
	2002/0034656 A1	03/21/02	Thompson et al.	428	690		
	2002/0182441 A1	12/05/02	Lamansky et al.	428	690		
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	6,953,628 B2	10/11/05	Kamatani et al.	428	690	11/30/01	
÷	+						

*Examiner Initials	Document Number	Publication Date	Country	Class	Subclass	Translation		
						Yes	No	
	1 239 526 A2	09/11/02	EP					
	1 348 711 A1	10/01/03	EP					
	1 349 435 A1	10/01/03	EP					
	2002-105055	04/10/02	Japan			Abstract only		
	WO 02/15645 A1	02/21/02	PCT					
	WO 02/44189 A1	06/06/02	PCT			Abstract only		
	WO 02/45466 A1	06/06/02	PCT			Abstract only		



m PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office Atty. Docket No. | Serial No. | 30698/CDT386 | 10/549,837 | Applicant | Tuan Quoc Ly | Filing Date | Group

March 16, 2004

### INFORMATION DISCLOSURE STATEMENT

	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)				
	"Excited-State Properties of a Triply Ortho-Metalated Iridium (III) Complex", King et				
	al., J. Am. Chem. Soc., 107, 1985, pp. 1431-1432				
	"Facial Tris Cyclometalated Rh <sup>3+</sup> and Ir <sup>3+</sup> Complexes: Their Synthesis, Structure, an				
	Optical Spectroscopic Properties", Colombo et al., Inorg. Chem. 33, 1994, pp. 545-				
	550				
	"New Efficient Electroluminescent Materials Based on Organometallic Ir				
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	"Synthesis and Characterization of Phosphorescent Cyclometalated Iridium				
	Complexes", Lamansky et al., Inorg. Chem., 40, 2001, pp. 1704-1711				
	Written Opinion in PCT/GB2004/001106 dated October 12, 2004				
	Search Report in GB 0306340.1 dated September 2, 2003				

Examiner	Date Considered		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not			
in conformance and not considered. Include copy of this form with next communication to applicant.			